

Constitutional Crisis and Settlement in Stuart England

JAMES I

In 1603, without opposition or incident, James VI of Scotland (r. 1603–1625), the son of Mary Stuart, Queen of Scots, succeeded the childless Elizabeth as James I of England. His was a difficult situation. The elderly queen had been very popular and was totally identified with the nation. James was not well known, would never be popular, and, as a Scot, was an outsider. He inherited not only the crown, but also a large royal debt and a fiercely divided church—problems that his politically active subjects expected him to address. The new king strongly advocated the divine right of kings, a subject on which he had written a book—*A Trew Law of Free Monarchies*—in 1598. He expected to rule with a minimum of consultation beyond his own royal court.

James quickly managed to anger many of his new subjects, but he did not wholly alienate them. In this period, Parliament met only when the monarch summoned it, which James hoped to do rarely. Its chief business was to grant certain sources of income. The real value of these revenues, however, had been falling during the past half century, limiting their importance and thus the importance of Parliament to the king. To meet his needs, James developed other sources of income, largely by levying—solely on the authority of ill-defined privileges claimed to be attached to the office of king—new custom duties known as *impositions*. These were a version of the older customs duties known as *tonnage* and *poundage*. Members of Parliament resented these independent efforts to raise revenues as an affront to their authority over the royal purse, but they did not seek a serious confrontation. Rather, throughout James's reign, they wrangled and negotiated behind the scenes.

The religious problem also festered under James. Puritans within the Church of England had hoped that James's experience with the Scottish Presbyterian church and his own Protestant upbringing would incline him to favor their efforts to further the reformation of the English church. Since the days of Elizabeth, they had sought to eliminate elaborate religious ceremonies and replace the hierarchical episcopal system of church governance with a more representative Presbyterian form like that of the Calvinist churches on the Continent.

In January 1604, the Puritans had their first direct dealing with the new king. James responded in that month to a statement of Puritan grievances, the so-called Millenary Petition, at a special religious

conference at Hampton Court. The political implications of the demands in this petition concerned him, and their tone offended him. To the dismay of the Puritans, he firmly declared his intention to maintain and even enhance the Anglican episcopacy. "A Scottish presbytery," he snorted, "agreeth as well with monarchy as God and the devil. No bishops, no king." James was not simply being arbitrary. Elizabeth also had not accommodated the Puritan demands. To have done so would have worsened the already existing strife within the Church of England.

Both sides left the conference with their suspicions of one another largely confirmed. The Hampton Court conference did, however, sow one fruitful seed: A commission was appointed to render a new translation of the Bible. That mission was fulfilled in 1611 with the publication of the eloquent authorized, or King James, version.

James also offended the Puritans with his opposition to their narrow view of human life and social activities. The Puritans believed that Sunday should be a day taken up largely with religious observances and little leisure or recreation. James believed that recreation and sports were innocent activities and good for his people. He also believed that Puritan narrowness discouraged Roman Catholics from converting to the Church of England. Consequently, in 1618, he issued the *Book of Sports*, which permitted games on Sunday for people who attended Church of England services. Many clergy refused to read his order from the pulpit, and he had to rescind it. (See "King James I Defends Popular Recreation against the Puritans" and "Encountering the Past: Early Controversy over Tobacco and Smoking.")

It was during James's reign that some religious dissenters began to leave England. In 1620, Puritan separatists founded Plymouth Colony in Cape Cod Bay in North America, preferring flight from England to Anglican conformity. Later in the 1620s, a larger, better financed group of Puritans left England to found the Massachusetts Bay Colony. In each case, the colonists believed that reformation would or could not go far enough in England and that only in America could they worship freely and organize a truly reformed church.

Although James inherited a difficult situation, he also created special problems for himself. His court became a center of scandal and corruption. He governed by favorites, with the most influential the duke of Buckingham, whom rumor made the king's homosexual lover. Buckingham controlled royal patronage and openly sold peerages and titles to the "highest bidders"—a practice that angered the nobility because it cheapened their rank. There had always

KING JAMES I DEFENDS POPULAR RECREATION AGAINST THE PURITANS

The English Puritans believed in strict observance of the Sabbath, disapproving any sports, games, or general social conviviality on Sunday. James I thought these strictures prevented many Roman Catholics from joining the Church of England. In 1618, he ordered the clergy of the Church of England to read the Book of Sports from their pulpits. In this declaration, he permitted people to engage in certain sports and games after church services. His hope was to allow innocent recreations on Sunday while encouraging people to attend the Church of England. Despite the king's good intentions, the order offended the Puritans. The clergy resisted his order and he had to withdraw it.

■ *What motives of state might have led James I to issue this declaration? How does he attempt to make it favorable to the Church of England? Why might so many clergy have refused to read this statement to their congregations?*

With our own ears we heard the general complaint of our people, that they were barred from all lawful recreation and exercise upon the Sunday's afternoon, after the ending of all divine service, which cannot but produce two evils: the one the hindering of the conversion of many [Roman Catholic subjects], whom their priests will take occasion hereby to vex, persuading them that no honest mirth or recreation is lawful or tolerable in our religion, which cannot but breed a great discontentment in our people's hearts, especially as such as are peradventure upon the point of turning [to the Church of England]: the other inconvenience is, that this prohibition barreth the common and meaner sort of people from using such exercises as may make their bodies more able for war, when we or our successors shall have occasion to use them; and in place thereof sets up filthy tipplings and drunkenness, and breeds a number of idle and discontented speeches in their ale-houses. For when shall the common people have leave to exercise, if not upon the Sundays and holy days, seeing they must apply their labor and win their living in all working days? . . .

[A]s for our good people's lawful recreation, our pleasure likewise is, that after the end of divine service our good people be not disturbed, . . . or discouraged from any lawful recreation, such as dancing, either men or women; archery for men, leaping, vaulting, or any other such harmless recreation, or from having of Hay-games, Whitsun-ales, and Morris-dances; and the setting up of May-poles and other sports therewith used; . . . but withal we do here account still as prohibited all unlawful games to be used upon Sundays only, as bear and bull-baitings . . . and at all times in the meaner sort of people by law prohibited, bowling.

And likewise we bar from this benefit and liberty all such known as recusants [Roman Catholics], either men or women, as will abstain from coming to church or divine service, being therefore unworthy of any lawful recreation after the said service, that will not first come to the church and serve God; prohibiting in like sort the said recreations to any that, though [they] conform in religion [i.e., members of the Church of England], are not present in the church at the service of God, before their going to the said recreations.

From Henry Bettenson, ed., *Documents of the Christian Church*, 2nd ed. (London: Oxford University Press, 1963), pp. 400–403. By permission of Oxford University Press.

been court favorites, but never before had a single person so controlled access to the monarch.

James's foreign policy also roused opposition. He regarded himself as a peacemaker. Peace reduced pressures on royal revenues and the need for larger debts. The less his demands for money, the less the king had to depend on the goodwill of Parliament.

In 1604, he concluded a much-needed peace with Spain, England's chief adversary during the second half of the sixteenth century. His subjects viewed this peace as a sign of pro-Catholic sentiment. James further increased suspicions when he tried unsuccessfully to relax the penal laws against Catholics. The English had not forgotten the brutal

reign of Mary Tudor and the acts of treason by Catholics during Elizabeth's reign. In 1618, James hesitated, not unwisely, to rush English troops to the aid of Protestants in Germany at the outbreak of the Thirty Years' War. This hesitation caused some to question his loyalty to the Anglican church. These suspicions increased when he tried to arrange a marriage between his son Charles and the Spanish *Infanta* (the daughter of the king of Spain). In the king's last years, as his health failed and the reins of government passed increasingly to his son Charles and to Buckingham, parliamentary opposition and Protestant sentiment combined to undo his pro-Spanish foreign policy. In 1624, shortly before James's death, England entered a continental war against Spain largely in response to the pressures of members of Parliament.

CHARLES I

Parliament had favored the war with Spain, but would not adequately finance it because its members distrusted Buckingham. Unable to gain adequate funds from Parliament, Charles I (r. 1625–1649), like his father, resorted to extraparliamentary measures. He levied new tariffs and duties and attempted to collect discontinued taxes. He even subjected the English people to a so-called forced loan (a tax theoretically to be repaid), imprisoning those who refused to pay. The government quartered troops in transit to war zones in private homes. All these actions intruded on life at the local level and challenged the power of the local nobles and landowners to control their districts.

When Parliament met in 1628, its members were furious. Taxes were being illegally collected for a war that was going badly for England and that now, through royal blundering, involved France as well as Spain. Parliament expressed its displeasure by making the king's request for new funds conditional on his recognition of the Petition of Right. This important declaration of constitutional freedom required that henceforth there should be no forced loans or taxation without the consent of Parliament, that no freeman should be imprisoned without due cause, and that troops should not be billeted in private homes. It was thus an expression of resentment and resistance to the intrusion of the monarchy on the local level. Though Charles agreed to the petition, there was little confidence he would keep his word.

Years of Personal Rule In August 1628, Charles's chief minister, Buckingham, with whom Parliament had been in open dispute since 1626, was assassinated. His death, although sweet to many, did not resolve the hostility between the king and Parliament.

In January 1629, Parliament further underscored its resolve to limit royal prerogative. It declared that religious innovations leading to "popery"—the term used to condemn Charles's high-church policies—and the levying of taxes without parliamentary consent were acts of treason. By "popery," Parliament meant Charles's high-church policies that favored powerful bishops, elaborate liturgy, and personal religious observance and devotion rather than the preaching favored by the Puritans. Perceiving that things were getting out of hand, Charles promptly dissolved Parliament and did not call it again until 1640, when war with Scotland forced him to do so.

To conserve his limited resources, Charles made peace with France in 1629 and Spain in 1630. This policy again roused fears among some of his subjects that he was too friendly to Roman Catholic powers. The French and Roman Catholic background of Charles's wife furthered these suspicions. Part of her marriage contract permitted her to hear mass daily at the English court. Charles's attitude toward the Church of England also raised suspicions. He supported a group within the church, known as **Arminians**, who rejected many Puritan doctrines and favored elaborate high-church practices. The Puritans were convinced these practices would bring a return to Roman Catholicism.

To allow Charles to rule without renegotiating financial arrangements with Parliament, his chief minister, Thomas Wentworth (after 1640, earl of Strafford), instituted a policy known as *thorough*. This policy imposed strict efficiency and administrative centralization in government. Its goal was absolute royal control of England. Its success depended on the king's ability to operate independently of Parliament, which no law required him to summon.

Charles's ministers exploited every legal fund-raising device. They enforced previously neglected laws and extended existing taxes into new areas. For example, starting in 1634, they gradually extended inland to the whole of England a tax called *ship money*, normally levied only on coastal areas to pay for naval protection. A great landowner named John Hampden mounted a legal challenge to the extension of this tax. Although the king prevailed in what was a close legal contest, his victory was costly. It deepened the animosity toward him among the powerful landowners, who would elect and sit in Parliament should he need to summon it.

During these years of personal rule, Charles surrounded himself with an elaborate court and patronized some of the greatest artists of the day. Like his father, he sold noble titles and knighthoods, lessening their value and the social exclusiveness conferred on those who already possessed them. Nobles



William Laud, the Archbishop of Canterbury, attempted in 1637, with the support of Charles I, to impose the English Book of Common Prayer on Scotland. In 1645, in the midst of the English Civil War, Laud was executed under parliamentary authority. © Stapleton Collection/Corbis

and great landowners feared that the growth of the court, the king's relentless pursuit of revenue, and the inflation of titles and honors would reduce their local influence and social standing. They also feared the monarch might actually succeed in governing without ever again calling Parliament into session.

Charles might very well have ruled indefinitely without Parliament had not his religious policies provoked war with Scotland. James I had allowed a wide variety of religious observances in England, Scotland, and Ireland. Charles, by contrast, hoped to impose religious conformity at least within England and Scotland. William Laud (1573–1645), who was first Charles's religious adviser and, after 1633, archbishop of Canterbury, held high-church views of Anglicanism. As a member of the Court of High Commission, Laud had already radicalized the English Puritans by denying them the right to publish

and preach. In 1637, Charles and Laud, against the opposition of the English Puritans as well as the Scots, tried to impose on Scotland the English episcopal system and a prayer book almost identical to the Anglican *Book of Common Prayer*.

The Scots rebelled, and Charles, with insufficient resources for a war, was forced to call Parliament. The members of Parliament opposed his policies almost as much as they wanted to crush the rebellion. Led by John Pym (1584–1643), they refused even to consider funds for war until the king agreed to redress a long list of political and religious grievances. The king, in response, immediately dissolved Parliament—hence its name, the Short Parliament (April–May 1640). When the Presbyterian Scots invaded England and defeated an English army at the Battle of Newburn on the Tyne in the summer of 1640, Charles reconvened Parliament, this time on its terms, for a long and most fateful duration.

The Long Parliament The landowners and the merchant classes represented by Parliament had resented the king's financial measures and paternalistic rule for some time. The Puritans in Parliament resented his religious policies and deeply distrusted the influence of the Roman Catholic queen. What became known as Long Parliament (1640–1660) thus acted with widespread support and general unanimity when it convened in November 1640.

The House of Commons impeached both the earl of Stafford and Archbishop Laud. Disgraced and convicted by a parliamentary bill of attainder (a judgment of treason entailing loss of civil rights), Stafford was executed in 1641. Laud was imprisoned and also later executed (1645). Parliament abolished the Court of Star Chamber and the Court of High Commission, royal instruments of political and religious *thorough*, respectively. The levying of new taxes without the consent of Parliament and the inland extension of *ship money* now became illegal. Finally, Parliament resolved that no more than three years should elapse between its meetings and that it could not be dissolved without its own consent. Parliament was determined that neither Charles nor any future English king could again govern without consulting it.

Despite its cohesion on these initial actions, Parliament was divided over the precise direction to take on religious reform. Both moderate Puritans (the Presbyterians) and more extreme Puritans (the Independents) wanted the complete abolition of the episcopal system and the *Book of Common Prayer*. The majority of Presbyterians sought to reshape England religiously along Calvinist lines, with local congregations subject to higher representative

governing bodies (presbyteries). Independents wanted a much more fully decentralized church with every congregation as its own final authority. Finally, many conservatives in both houses of Parliament were determined to preserve the English church in its current form. Their numbers fell dramatically after 1642, however, when many of them left the House of Commons with the outbreak of civil war.

These divisions further intensified in October 1641, when a rebellion erupted in Ireland and Parliament was asked to raise funds for an army to suppress it. Pym and his followers, loudly reminding the House of Commons of the king's past behavior, argued that Charles could not be trusted with an army and that Parliament should become the commander-in-chief of English armed forces. Parliamentary conservatives, however, were appalled by such a bold departure from tradition.

Eruption of Civil War Charles saw the division within Parliament as a chance to reassert his power. On December 1, 1641, Parliament presented him with the "grand remonstrance," a more-than-200-article summary of popular and parliamentary grievances against the crown. In January 1642, he invaded Parliament with his soldiers. He intended to arrest Pym and the other leaders, but they had been forewarned and managed to escape. The king then withdrew from London and began to raise an army. Shocked by his action, a majority of the House of Commons passed the Militia Ordinance, which gave Parliament authority to raise an army of its own. The die was now cast. For the next four years (1642–1646), civil war engulfed England.

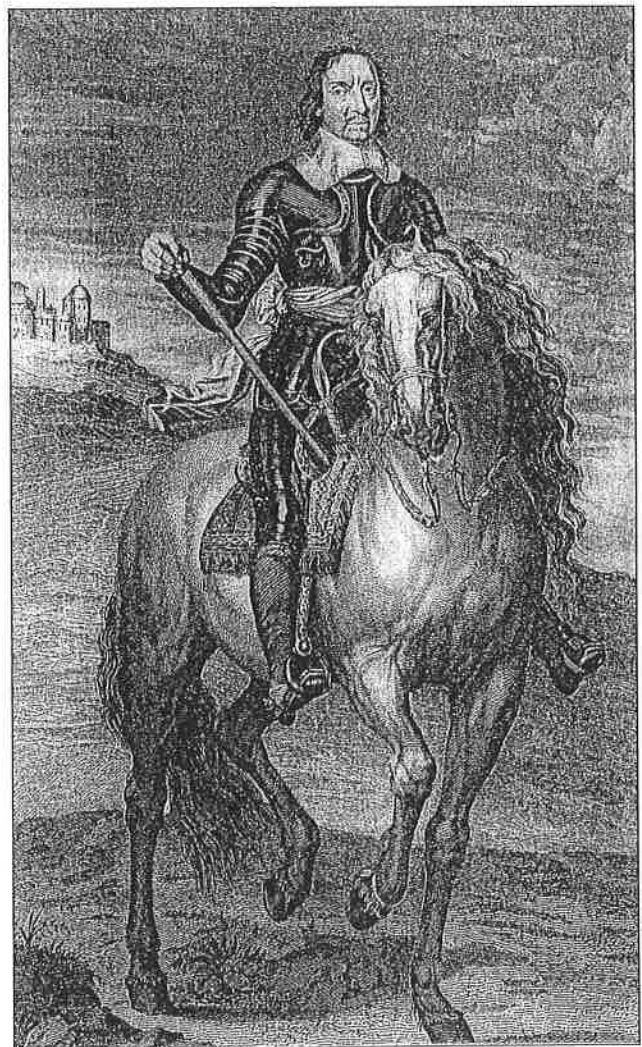
Charles assembled his forces at Nottingham, and the war began in August. It was fought over two main issues:

- Would an absolute monarchy or a parliamentary government rule England?
- Would English religion be controlled by the king's bishops and conform to high Anglican practice or adopt a decentralized, Presbyterian system of church governance?

Charles's royalist supporters, known as Cavaliers, were located in the northwestern half of England. The parliamentary opposition, known as Roundheads because of their close-cropped hair, had its stronghold in the southeastern half of the country. Supporters of both sides included nobility, gentry, and townspeople. The chief factor distinguishing them was religion; the Puritans tended to favor Parliament.

OLIVER CROMWELL AND THE PURITAN REPUBLIC

Two factors led finally to Parliament's victory. The first was an alliance with Scotland consummated in 1643 when John Pym persuaded Parliament to accept the terms of the Solemn League and Covenant, an agreement that committed Parliament, with the Scots, to a Presbyterian system of church government. For the Scots, this policy meant they would never again be confronted with an attempt to impose the English prayer book on their religious services. The second factor was the reorganization of the parliamentary army under Oliver Cromwell (1599–1658), a middle-aged country squire of iron discipline and strong Independent



Oliver Cromwell's New Model Army defeated the royalists in the English Civil War. After the execution of Charles I in 1649, Cromwell dominated the short-lived English republic, conquered Ireland and Scotland, and ruled as lord protector from 1653 until his death in 1658. Stock Montage, Inc./Historical Pictures Collection

religious sentiment. Cromwell and his "godly men" favored neither the episcopal system of the king nor the pure Presbyterian system of the Solemn League and Covenant. They were willing to tolerate an established majority church, but only if it also permitted Protestant dissenters to worship outside it. (See "John Milton Defends Freedom to Print Books.")

The allies won the Battle of Marston Moor in 1644, the largest engagement of the war. In June 1645, Cromwell's newly reorganized forces, known as the New Model Army, fighting with disciplined fanaticism, won a decisive victory over the king at Naseby. (See Map 13-1.)

Defeated militarily, Charles tried again to take advantage of divisions within Parliament, this time seeking to win the Presbyterians and the Scots over to the royalist side. But Cromwell and his army

foiled him. In December 1648, Colonel Thomas Pride physically barred the Presbyterians, made up a majority of Parliament, from taking their seats. After "Pride's Purge," only a "rump" of fewer than fifty members remained. Though small in numbers, this Independent Rump Parliament did not hesitate to use its power. On January 30, 1649, after a trial by a special court, the Rump Parliament executed Charles as a public criminal and thereafter abolished the monarchy, the House of Lords, and the Anglican church. What had begun as a civil war had at this point become a revolution.

From 1649 to 1660, England became officially a Puritan republic, although for much of that time it was dominated by Cromwell. During this period, Cromwell's army conquered Ireland and Scotland, creating the single political entity of Great Britain. Cromwell, however, was a military man

JOHN MILTON DEFENDS FREEDOM TO PRINT BOOKS

Certain Puritans were as concerned about resisting potential tyranny from Parliament as from the monarchy. During the English Civil War, the Parliament passed a very strict censorship measure. In "Areopagitica" (1644), John Milton, later the author of Paradise Lost (1667), attacked this law and contributed one of the major defenses of the freedom of the press in the history of Western culture. In the passage that follows, he compares the life of a book with the life of a human being.

■ *Why does Milton think that it may be more dangerous and harmful to attack a book than to attack a person? Was life cheaper and intelligence rarer in his time? Does he have particular kinds of books in mind? What can a book do for society that people cannot?*

I deny not but that it is of greatest concern in the Church and Commonwealth to have a vigilant eye how books demean themselves as well as men; and thereafter to confine, imprison, and do sharpest justice on them as [if they were criminals]; for books are not absolutely dead things, but do contain a progeny of life in them to be as active as that soul was whose progeny they are; nay, they do preserve as in a vial the purest efficacy and extraction of that living intellect that bred them. . . . He who kills a man kills a reasonable creature, God's Image; but he who destroys a good book, kills reason itself, kills the Image of God, as it were. . . . Many a man lives [as] a burden to the Earth; but a good book is the precious life-blood of a master spirit, embalmed

and treasured up on purpose to a life beyond life. It is true, no age can restore a life, whereof, perhaps there is no great loss; and revolutions of ages do not oft recover the loss of a rejected truth, for the want of which whole nations fare the worse. We should be wary, therefore, what persecution we raise against the living labours of public men, how we spill that seasoned life of man preserved and stored up in books; since we see a kind of homicide may be thus committed, sometimes a martyrdom, and if it extends to the whole impression, a kind of massacre, whereof the execution ends not in the slaying of an elemental life, but strikes at that ethereal . . . essence, the breath of reason itself; slays an immortality rather than a life.

and no politician. He was increasingly frustrated by what seemed to him to be pettiness and dawdling on the part of Parliament. When, in 1653, the House of Commons entertained a motion to disband his expensive army of 50,000, Cromwell responded by marching in and disbanding Parliament. He ruled thereafter as Lord Protector according to a written constitution known as the Instrument of Government.

This military dictatorship, however, proved no more effective than Charles's rule had been and became just as harsh and hated. Cromwell's great army and foreign adventures inflated his budget to three times that of Charles's. Near chaos reigned in many places, and commerce suffered throughout England. Cromwell was as intolerant of Anglicans as Charles had been of Puritans. People deeply resented his Puritan prohibitions of drunkenness, theatergoing, and dancing. Political liberty vanished in the name of religious liberty.

Cromwell's challenge had been to devise a political structure to replace that of monarch and Parliament. He tried various arrangements, none of which worked. He quarreled with the various Parliaments that were elected while he was Lord Protector. By the time of his death in 1658, most of the English were ready to end both the Puritan religious and the republican political experiments and return to their traditional institutions. Negotiations between leaders of the army and the exiled Charles II (r. 1660–1685), son of Charles I, led to the restoration of the Stuart monarchy in 1660.

CHARLES II AND THE RESTORATION OF THE MONARCHY

Charles II returned to England amid great rejoicing. A man of considerable charm and political skill, Charles set a refreshing new tone after eleven years of somber Puritanism. His restoration returned England to the status quo of 1642, with a hereditary monarch once again on the throne, no legal requirement that he summon Parliament regularly, and the Anglican church, with its bishops and prayer book, supreme in religion.

The king, however, had secret Catholic sympathies and favored a policy of religious toleration. He wanted to allow all those outside the Church of England, Catholics as well as Puritans, to worship freely so long as they remained loyal to the throne. But in Parliament, even the ultraroyalist Anglicans did not believe patriotism and religion could be separated. Between 1661 and 1665, through a series of laws known as the Clarendon Code, Parliament excluded Roman Catholics, Presbyterians, and Independents from the religious and political life of the

MAP EXPLORATION

Interactive map: To explore this map further, go to <http://www.prenhall.com/kagan/map13.1>



MAP 13-1 THE ENGLISH CIVIL WAR. This map shows the rapid deterioration of the royalist position in 1645.

nation. These laws imposed penalties for attending non-Anglican worship services, required strict adherence to the *Book of Common Prayer* and the *Thirty-Nine Articles*, and demanded oaths of allegiance to the Church of England from all persons serving in local government.

At the time of the Restoration, England, again as under Cromwell in 1651, adopted navigation acts that required all imports to be carried either in English ships or in ships registered to the country from which the cargo originated. Dutch ships carried



The bleeding head of Charles I is exhibited to the crowd after his execution on a cold day in January 1649. The contemporary Dutch artist also professed to see the immediate ascension of Charles's soul to heaven. In fact, many saw the king as a martyr. An Eye-witness Representation of the Execution of King Charles I (1600–1649) of England, 1649 (oil on canvas) by Weesop (fl. 1641–1649). Private Collection/Bridgeman Art Library, London

cargo from many nations, and such laws struck directly at Dutch dominance in the shipping industry. A series of naval wars between England and Holland ensued. Charles also attempted to tighten his grasp on the rich English colonies in North America and the Caribbean, many of which had been settled and developed by separatists who desired independence from English rule.

Although Parliament strongly supported the monarchy, Charles, following the pattern of his predecessors, required greater revenues than Parliament appropriated. These he obtained in part by increased customs duties. Because England and France were both at war with Holland, he also received aid from France. In 1670, England and France formally allied against the Dutch in the Treaty of Dover. In a secret portion of this treaty, Charles pledged to announce his conversion to Catholicism as soon as conditions in England permitted. In return for this announcement (which was never made), Louis XIV of France promised to pay a substantial subsidy to England.

In an attempt to unite the English people behind the war with Holland, and as a sign of good faith to Louis XIV, Charles issued the Declaration of Indulgence in 1672. This document suspended all laws against Roman Catholics and Protestant noncon-

formists. But again, the conservative Parliament proved less generous than the king and refused to grant money for the war until Charles rescinded the measure. After he did, Parliament passed the Test Act, which required all officials of the crown, civil and military, to swear an oath against the doctrine of transubstantiation—a requirement that no loyal Roman Catholic could honestly meet.

Parliament had aimed the Test Act largely at the king's brother, James, duke of York, heir to the throne and a recent devout convert to Catholicism. In 1678, a notorious liar named Titus Oates swore before a magistrate that Charles's Catholic wife, through her physician, was plotting with Jesuits and Irishmen to kill the king so James could assume the throne. The matter was taken before Parliament, where Oates was believed. In the ensuing hysteria, known as the Popish Plot, several people were tried and executed. Riding the crest of anti-Catholic sentiment and led by the earl of Shaftesbury (1621–1683), opposition members of Parliament, called Whigs, made an impressive, but unsuccessful, effort to enact a bill excluding James from succession the throne.

More suspicious than ever of Parliament, Charles II turned again to increased customs duties and the assistance of Louis XIV for extra income. By these

means, he was able to rule from 1681 to 1685 without recalling Parliament. In those years, Charles suppressed much of his opposition. He drove the earl of Shaftesbury into exile, executed several Whig leaders for treason, and bullied local corporations into electing members of Parliament who were submissive to the royal will. When Charles died in 1685 (after a deathbed conversion to Catholicism), he left James the prospect of a Parliament filled with royal friends.

JAMES II AND RENEWED FEARS OF A CATHOLIC ENGLAND

James II (r. 1685–1688) did not know how to make the most of a good thing. He alienated Parliament by insisting on the repeal of the Test Act. When Parliament balked, he dissolved it and proceeded openly to appoint known Catholics to high positions in both his court and the army. In 1687, he issued the Declaration of Indulgence, which suspended all religious tests and permitted free worship. Local candidates for Parliament who opposed the declaration were removed from their offices by the king's soldiers and were replaced by Catholics. In June 1688, James went so far as to imprison seven Anglican bishops who had refused to publicize his suspension of laws against Catholics. Each of these actions represented a direct royal attack on the local power and authority of nobles, landowners, the church, and other corporate bodies whose members believed they possessed particular legal privileges. James was attacking English liberty and challenging all manner of social privileges and influence.

Under the guise of a policy of enlightened toleration, James was actually seeking to subject all English institutions to the power of the monarchy. His goal was absolutism, and even conservative, loyalist Tories, as the royal supporters were called, could not abide this policy. The English feared, with reason, that James planned to imitate the religious intolerance of Louis XIV, who had, in 1685, revoked the Edict of Nantes (which had protected French Protestants for almost a century) and imposed Catholicism on the entire nation, using his dragoons against those who protested or resisted.

James soon faced united opposition. When his Catholic second wife gave birth to a son and Catholic male heir to the throne on June 20, 1688, opposition turned to action. The English had hoped that James would die without a male heir so the throne would pass to Mary, his Protestant eldest daughter. Mary was the wife of William III of Orange, *stadtholder* of the Netherlands, great-grandson of William the Silent, and the leader of

European opposition to Louis XIV's imperial designs. Within days of the birth of James's son, Whig and Tory members of Parliament formed a coalition and invited Orange to invade England to preserve "traditional liberties," that is, the Anglican church and parliamentary government.

THE "GLORIOUS REVOLUTION"

William of Orange arrived with his army in November 1688 and was received without opposition by the English people. In the face of sure defeat, James fled to France and the protection of Louis XIV. With James gone, Parliament declared the throne vacant and, on its own authority in 1689, proclaimed William and Mary the new monarchs, completing

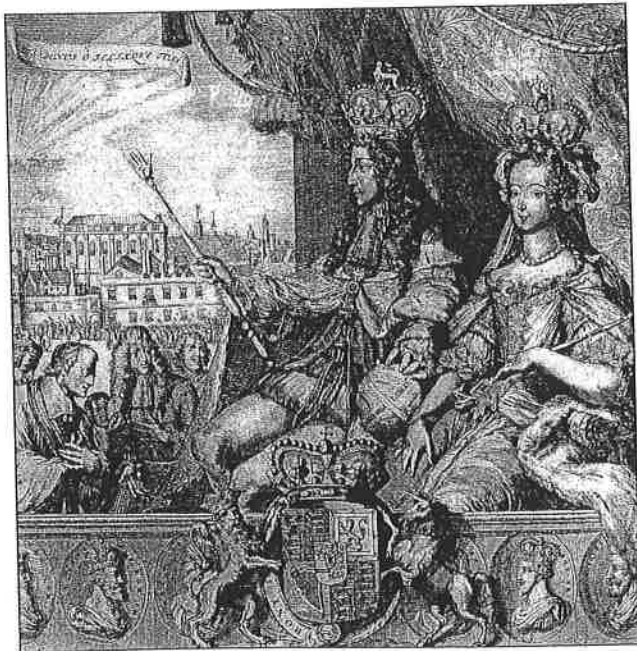
England in the Seventeenth Century

- 1603 James VI of Scotland becomes James I of England
- 1604 Hampton Court conference
- 1611 Publication of the authorized, or King James, version of the English Bible
- 1625 Charles I becomes English monarch
- 1628 Petition of Right
- 1629 Charles I dissolves Parliament and embarks on eleven years of personal rule
- 1640 April–May, Short Parliament
November, Long Parliament convenes
- 1641 Grand Remonstrance
- 1642 Outbreak of the Civil War
- 1645 Charles I defeated at Naseby
- 1648 Pride's Purge
- 1649 Charles I executed
- 1649–1660 Various attempts at a Puritan Commonwealth
- 1660 Charles II restored to the English throne
- 1670 Secret Treaty of Dover between France and England
- 1672 Parliament passes the Test Act
- 1678 Popish Plot
- 1685 James II becomes king of England
- 1688 "Glorious Revolution"
- 1689 William and Mary proclaimed English monarchs
- 1701 Acts of Settlement provides for Hanoverian succession
- 1702–1714 Reign of Queen Anne, the last of the Stuarts

the successful bloodless **Glorious Revolution**. William and Mary, in turn, recognized a Bill of Rights that limited the powers of the monarchy and guaranteed the civil liberties of the English privileged classes. Henceforth, England's monarchs would be subject to law and would rule by the consent of Parliament, which was to be called into session every three years. The Bill of Rights also pointedly prohibited Roman Catholics from occupying the English throne. The Toleration Act of 1689 permitted worship by all Protestants but outlawed Roman Catholics and anti-Trinitarians (those who denied the Christian doctrine of the Trinity).

The measure closing this century of strife was the Act of Settlement in 1701. This bill provided for the English crown to go to the Protestant House of Hanover in Germany if none of the children of Queen Anne (r. 1702–1714), the second daughter of James II and the last of the Stuart monarchs, was alive at her death. She outlived all of her children, so in 1714, the elector of Hanover became King George I of England, the third foreign monarch to occupy the English throne in just over a century.

The Glorious Revolution of 1688 established a framework of government by and for the governed that seemed to bear out the arguments of John Locke's *Second Treatise of Government* (1690). In this work, which is discussed more fully in the



William and Mary became the monarchs of England in 1689. Their accession brought England's economic and military resources into the balance against the France of Louis XIV. Robert Harding Picture Library

next chapter, Locke described the relationship of a king and his people as a bilateral contract. If the king broke that contract, the people, by whom Locke meant the privileged and powerful, had the right to depose him. Locke had written the essay before the revolution, but it came to be read as a justification for it. Although neither in fact nor in theory a "popular" revolution such as would occur in America and France a hundred years later, the Glorious Revolution did establish in England a permanent check on monarchical power by the classes represented in Parliament. At the same time, as we see in Chapter 15, in its wake the English government had achieved a secure financial base that would allow it to pursue a century of warfare.

Rise of Absolute Monarchy in France

Seventeenth-century France, in contrast to England, saw both discontent among the nobility and religious pluralism smothered by the absolute monarchy and the closed Catholic state of Louis XIV (r. 1643–1715). An aggressive ruler who sought glory (*la gloire*) in foreign wars, Louis XIV subjected his subjects at home to "one king, one law, one faith."

Historians once portrayed Louis XIV's reign as a time when the rising central monarchy exerted far-reaching, direct control of the nation at all levels. A somewhat different picture has now emerged. Louis's predecessors and their chief ministers, in the half century before his reign, had already tried to impose direct rule, arousing discontent and, at mid-century, a rebellion among the nobility. Louis's genius was to make the monarchy the most important and powerful political institution in France while also assuring the nobles and other wealthy groups of their social standing and political and social influence on the local level. Rather than destroying existing local social and political institutions, Louis largely worked through them. Once nobles understood that the king would support their local authority, they supported his central royal authority. In other words, the king and the nobles came to recognize that they needed each other. Nevertheless, Louis made it clear to all concerned that he was the senior partner in the relationship.

Louis's royal predecessors laid the foundations for absolute monarchy and also taught him to avoid certain practices. Just as the emergence of a strong Parliament was not inevitable in England, neither was the emergence of an absolute monarchy in France.

ENCOUNTERING THE PAST

Early Controversy over Tobacco and Smoking

Smoking today is widely condemned throughout the West, but the controversy over tobacco goes back to the earliest European encounter with the plant, which was native to the Americas.

Christopher Columbus on his first voyage in 1492 saw Native Americans smoking tobacco. Later, the first Spanish missionaries associated smoking with pagan religious practices and tried to stop Native Americans from using tobacco. Once tobacco reached Europe in the late sixteenth century, more opposition to smoking arose (although—ironically—some physicians thought it might cure diseases of the lungs and internal organs). As early as 1610, Sir Francis Bacon (1561–1626) noted that smokers found it difficult to stop smoking. The Christian clergy throughout Europe denounced smoking as immoral, and Muslim clerics condemned the practice as contrary to Islam when it spread to the Ottoman Empire. Nonetheless, smoking tobacco in pipes became popular.

The chief British critic of the new practice was none other than King James I (r. 1603–1625). While he defended Sunday sports against Puritan critics who believed any amusements on the Sabbath

were sinful, he detested smoking. In 1604, he published his *Counterblast to Tobacco* in which he declared, "Have you not reason then to be ashamed, and to forbear this filthy novelty . . . ? In your abuse thereof sinning against God, harming yourselves in person . . . and taking thereby the marks . . . of vanity upon you. . . . A custom loathsome to the eye, hateful to the nose, harmful to the brain, dangerous to the lungs, and the black stinking fume thereof, nearest resembling the horrible Stygian smoke of the pit that is bottomless."¹

To discourage smoking, James's government put a high tax on tobacco. But when a brisk trade in smuggled tobacco developed, the government decided to lower the tax to a level where people would not seek to evade it. In 1614, James created a royal monopoly to import tobacco into England, which created a steady government revenue that the increasingly unpopular king badly needed. James, like governments to the present day, may also have regarded this policy as a tax on sin. By 1619, James approved the incorporation of a company of clay pipe makers in London, and 40,000 pounds of tobacco arrived from Virginia the next year. Other European governments would also find tobacco a significant source of tax revenue. Often they would tax tobacco and at the same time attempt to regulate its use, especially among the young.

■ Which groups in Europe in the sixteenth and seventeenth centuries opposed the habit of smoking tobacco? Why did the English government under King James I modify its opposition to tobacco?



Practically from the moment of its introduction into Europe tobacco smoking was controversial. Here a court jester is portrayed as exhaling rabbits from a pipe as three pipe-smoking gentlemen look on. © Christel Gerstenberg/Corbis

¹A *Counterblast to Tobacco* (1604), reprinted by the Rodale Press, London, 1954, p. 36.

